



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
In re Application of

Yunlong Sun and Edward J. Swenson  
Application No. 08/538,073

Filed: October 2, 1995

For: **LASER SYSTEM AND METHOD FOR FUNCTIONAL  
TRIMMING OF FILMS AND DEVICES**

Date: February 5, 1997

Examiner:

**RECEIVED**

MAR 03 1997

**GROUP 2100**

Group Art Unit: 2501

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FEB 26 1997

**GROUP 2500**

TRANSMITTAL LETTER

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith in connection with the above-identified application are the following documents:

1. ☒ Information Disclosure Statement.
2. ☒ Form PTO-1449.
3. ☒ Copies of references listed on the form PTO-1449.
4. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the filing of the enclosed documents or to credit any overpayment to Deposit Account No. 19-4455. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Yunlong Sun and Edward J. Swenson

By Michael L. Levine  
Michael L. Levine  
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Attorney Docket No. 26860/33:43 USA



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MAR 03 1997

GROUP 2100

Applicant: N. 38/538,073

Filed: October 2, 1995

Inventor(s): Yunlong Sun and Edward J. Swenson

Title: LASER SYSTEM AND METHOD FOR FUNCTIONAL TRIMMING OF FILMS AND DEVICES

Art Unit: 2501

Examiner:

Attorney Docket No.: 26860/33:43 USA

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**CERTIFICATE OF MAILING**

Date of Deposit February 6, 1997

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS  
BEING DEPOSITED WITH THE UNITED STATES POSTAL  
SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE  
ADDRESSED TO: ASSISTANT COMMISSIONER FOR  
PATENTS, WASHINGTON, D.C. 20231

Deanna J. Sullivan

Name of Person Mailing Paper or Fee

*Deanna J. Sullivan*

Signature of Person Mailing Paper or Fee

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Attachment:

- ☒ Transmittal Letter (in duplicate)
- ☒ Information Disclosure Statement, including Form PTO-1449 and copies of cited references



In re application of

**Yunlong Sun and Edward J. Swenson**

Application No. 08/538,073

Filed: October 2, 1995

For: **LASER SYSTEM AND METHOD FOR FUNCTIONAL  
TRIMMING OF FILMS AND DEVICES**

Date: February 5, 1997

Examiner:

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3697  
MAR 03 1997  
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GROUP 2100

Group Art Unit: 2501

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FEB 20 1997

GROUP 2500

**INFORMATION DISCLOSURE STATEMENT**

**TO THE ASSISTANT  
COMMISSIONER FOR PATENTS:**

Pursuant to their duty of disclosure, applicants enclose a copy of the document listed on the accompanying Form PTO-1449.

1. This information disclosure statement is being submitted:
  - a. ☒ Within three months of filing the above-identified application or entry of the national stage, or before receipt of the first Office action on the merits, whichever is later. (No certification required.)
  - b. ☐ After the period set forth in paragraph 1a, but before receipt of a final action or notice of allowance. (Check box i. or ii.)
    - i. ☐ A fee of \$220.00 under 37 CFR 1.17(p) is enclosed.
    - ii. ☐ A certification under 37 CFR 1.97(e) is set forth below.

- c. ☐ After receipt of a final action or notice of allowance and before payment of an issue fee. A certification under 37 CFR 1.97(e) is set forth below. A petition requesting consideration of the Information Disclosure Statement and a petition fee of \$130.00 under 37 CFR 1.17(i)(1) are enclosed.
2. ☐ The attorney or agent signing below hereby certifies that:
- ☐ each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.
- ☐ no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the statement.
3. ☐ Applicant(s) set forth below concise explanations of the relevance of each document not in the English language and/or selected document(s) in the English language.

Respectfully submitted,

**Yunlong Sun and Edward J. Swenson**

By Michael L. Levine  
Michael L. Levine  
Registration No. 33,947

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